Regulations on the Establishment and Operation of Affiliated Research Centers

Established Jun. 1, 2001
Amended Apr. 14, 2009

Article 1 (Purpose) These regulations specify the necessary matters for the establishment and operation of a research center in the University for the sound development of each research center.

Article 2 (Classification) Research centers shall be classified into university-affiliated research centers, which are established with the approval of the POSTECH Foundation, and university-approved research centers, which are founded with the approval of the University.

Article 3 (Establishment) ① The following documents must be submitted for the establishment of a research center:
1. Details of the operation of a research center, specifying its name, purpose, business, organization, researchers, steering committee, finance, etc.
2. Operational plans and budgets for the next two years
② The following are the assessment criteria for the establishment of a research center:
1. Its research field must agree with the University’s research objectives and direction; the need for support of its development must be recognized.
2. The necessity of establishing an independent research institute, such as advantage in attracting external research funds, improvement of the University’s reputation, promotion of joint research, etc., must be recognized.
3. The research center must have the ability to secure five or more tenure-track/tenured (participating) faculty members or five or more full-time researchers and a certain amount of external research funds.
4. The business plan of the research center must be feasible and practical to fulfill its duties and functions as a research center.
5. The research center must be able to self-support its own expenses including salaries and wages, space, and operating costs.
③ The establishment of a university-approved research center and a university-affiliated research center shall be approved by the President of the University and the POSTECH Foundation, respectively, following deliberations by the Research & Industrial Relations Committee.

Article 4 (Organization) ① A research center may have a research team (division) and its supporting departments as necessary for its business.
② A research center shall have a director. The director shall be appointed from among the faculty members of the University whose rank is Assistant Professor or higher or from among the staff members of the University whose position is Level 3 or higher. (Amended April 14, 2009)
③ The manager of a research team (or division) shall be appointed from among its researchers by the director of the center.
④ Researchers shall be appointed from among the tenure-track/tenured faculty members of the
University and other experts; assistant researchers may be appointed to provide assistance to
the researchers.

Article 5 (Researchers) Matters concerning researchers shall be determined separately.

Article 6 (Support for Research Centers) ① A newly established research center may be given
financial support to cover its general operating costs.
② Support for a research center such as reduction of teaching obligations, laboratory spaces,
and strategic research funds may be differentiated based on the evaluation of the achievements
of each center.

Article 7 (Steering Committee) ① Each research center may have its own steering committee to
review and discuss matters concerning the establishment of research plans, selection of research
projects, implementation of research initiatives, and evaluation of the results of research.
② The necessary matters with respect to the composition and operation the steering committee
and its resolutions shall be determined separately by the director of the center.

Article 8 (Evaluation of Affiliated Research Centers) ① Evaluation may be conducted to
understand each research center’s research conditions and actual operation status and to
specialize each research center by helping its operation become more reasonable.
② Evaluation shall be conducted every other year for each research center.
③ Evaluation shall be conducted in consideration of the different characteristics of each research
center.
④ The following items shall serve as evaluation criteria:

1. Functions and Organizations: Whether its operation agrees with the purpose of establishment,
whether it has set up a reasonable mid- to long-term development plan, progress against the
plan, operation of the steering committee, adequateness of administrative and technical
support staff members, size of operating costs and details of costs incurred for the previous
year, etc.

2. Research Conditions: Research staff members, dedicated spaces, current status of and
changes in research equipment and facilities, etc.

3. Research and Academic Activities: Research funds, patents, research papers, seminars and
academic conferences hosted, etc.

4. Relevance to the advancement of the University: Financial contributions to the University,
scholarship support for graduate students, role of connecting industry-academia-research
institution, technology transfer, similarity or relevance to another research center, etc.

Article 9 (Composition and Operation of the Evaluation Committee) ① The Vice President of
Research and Industry Affairs shall serve as the Chair of the Evaluation Committee.
② Committee members shall include two research members, two members from the same field,
one member from a different field, one member from the faculty of humanities; non-
POSTECH members may be appointed to the committee if necessary.
③ Committee members shall be newly appointed for each different evaluation period.

Article 10 (Application of Evaluation Results) ① An excellent research center may be given
more support in the form of research funds, reduced teaching obligations, administrative
personnel, and additional laboratory space, etc. within the available range as decided by the
President of the University.
② For an under-achieving research center, support from the University may be reduced, or the
center may be merged into a research center of a similar nature or closed down as decided by
the President of the University.

Article 11 (Mutatis Mutandis Application) Matters other than those specified in these
regulations shall follow the resolutions of the Research & Industry Relations Committee and the decisions by the President of the University.

Addendum

These regulations shall be established and take effect on June 1, 2001.

Addendum

These amended regulations shall take effect on April 14, 2009.